

FILE COPY

PATENT
Attorney Docket No. 7883.0004-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter J. WILK et al.

Serial No.: 09/534,038

Filed: March 24, 2000

For: LEFT VENTRICULAR CONDUITS
TO CORONARY ARTERIES AND
METHODS FOR CORONARY BYPASS



Group Art Unit: 3762

Examiner: Unknown

#8
CD
5-16-01
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TECHNOLOGY CENTER 3700

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: OFFICE OF INITIAL PATENT EXAMINATION

Sir:

SECOND REQUEST FOR CORRECTED FILING RECEIPT


We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. Please correct the Filing Receipt as indicated in handwritten ink on the attached copy by changing "Simon Furish" to --Simon Furnish--, "Stephens Evans" to --Stephen Evans--, "Nacy A. Brifs" to --Nancy M. Briefs--, and "Richard Rentati" to --Richard Renati-- in the Applicants section, and by changing "PCT/US99/03483" to --PCT/US99/03484-- in the Continuing Data section. A previous Request for Corrected Filing Receipt (copy enclosed) was filed on August 31, 2000, however, this information was not changed correctly on the newly issued Filing Receipt.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Please grant any extensions of time necessary for filing this Request and charge any required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 
Leslie I. Bookoff
Reg. No. 38,084

Dated: February 8, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,038	03/24/2000	3762	989	7883.0004-02	69	7	1

Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

FILING RECEIPT



OC000000005406128

7883.0004-02

BCM/LTB/SCT

Date Mailed: 09/18/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nancy M. Briefs

Simon Furnish
Stephen Evans

Richard Renati

Scott J. Wolf, Minneapolis, MN ;
Greg R. Furnish, Louisville, KY ;
Todd A. Hall, Goshen, KY ;
David Y. Phelps, Louisville, KY ;
Peter J. Wilk, New York, NY ;
~~Nancy A. Briefs~~, Nashua, NH ;
William Santamore, Medford, NJ ;
Daniel Burkhoff, Tenafly, NJ ;
~~Simon Furnish~~, Louisville, KY ;
~~Stephen Evans~~, Westford, MA ;
Roger D. Kamm, Weston, MA ;
~~Richard Renati~~, San Jose, CA ;
Gerald Melsky, Lexington, MA ;
Eun Bo Shim, Kyungbuk, KOREA, REPUBLIC OF;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/369,039 08/04/1999
WHICH CLAIMS BENEFIT OF 60/099,691 09/10/1998
AND CLAIMS BENEFIT OF 60/099,720 09/10/1998
AND CLAIMS BENEFIT OF 60/104,397 10/15/1998
AND CLAIMS BENEFIT OF 60/099,767 09/10/1998
AND A CIP OF 09/016,485 01/30/1998 ABN
WHICH IS A 371 OF PCT/US99/03483 02/17/1999

Foreign Applications

PCT/US99/03484

If Required, Foreign Filing License Granted 06/05/2000

** SMALL ENTITY **

Left ventricular conduits to coronary arteries and methods for coronary bypass

Preliminary Class

604

Data entry by : KINARD, VALERIE

Team : OIPE

Date: 09/18/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Peter J. WILK et al.)
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Filed: March 24, 2000) Examiner: Unknown
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TO CORONARY ARTERIES AND
METHODS FOR CORONARY BYPASS

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: OFFICE OF INITIAL PATENT EXAMINATION

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. Please correct the Filing Receipt as indicated in ink on the attached copy. The changes include:

(a) under Applicant(s), "Susanne C. Tinker, Residence Not Provided." has been replaced with --Peter J. Wilk, New York, NY; Scott J. Wolf, Minneapolis, MN; Greg R. Furnish, Louisville, KY; Simon Furnish, Louisville, KY; Stephen Evans, Westford, MA; Roger D. Kamm, Weston, MA; Nancy M. Briefs, Nashua, NH; David Y. Phelps, Louisville, KY; Richard Renati, San Jose, CA; Gerald Melsky, Lexington, MA; Eun Bo Shim, Kumi, Kyungbuk, Korea; William Sanatmore, Medford, NJ; Todd A. Hall, Goshen, KY; Daniel Burkhoff, Tenafly, NJ--; and

(b) under Continuing Data as Claimed by Applicant, "PCT/US99/03483" has been replaced with --PCT/US99/03484--.

The required fee of \$25.00 as set forth in 37 C.F.R. § 1.19(h) is enclosed herewith.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: *Susanne C. Tinker*
Susanne C. Tinker
Reg. No. 44,472

Dated: August 31, 2000

BCM/LIG/SCT

FILING RECEIPT



OC000000005158551



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/534,038	03/24/2000	3762	345	7883.0004-02	69	1	1
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Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

Date Mailed: 06/06/2000

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TECHNOLOGY CENTER 3100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *Peter J. Wilk, New York, NY; Scott J. Wolf, Minneapolis, MN; Greg R. Furnish, Louisville, KY; Simon Furnish, Louisville, KY; Stephen Evans, Westford, MA; Roger P. Kamm, Weston, MA; Susanne G. Tinker, Residence Not Provided; Nancy M. Briefs, Nashua, NH; David Y. Phelps, Louisville, KY; Richard Rengati, San Jose, CA; Gerald Melsky, Lexington, MA; Eun Bo Shum, Kumi, Kyungbuk, William Santamaria, Medford, NJ; Todd A. Hall, Eastern, KY; Daniel Bunkhoff, Tarrytown, NY*

Continuing Data as Claimed by Applicant

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WHICH IS A 371 OF PCT/US99/03483 02/17/1999

PCT/US99/03484

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JUN 7 2000

FINNEGAN, HENDERSON, FARABOW,
GARRETT AND DUNNER, LLP

Foreign Applications

If Required, Foreign Filing License Granted 06/05/2000

**** SMALL ENTITY ****

Title

Left ventricular conduits to coronary arteries and methods for coronary bypass

Preliminary Class

604

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20*

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 2278

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/534,038	03/24/2000 RULE	604	3762	7883.0004-02

APPLICANTS

Scott J. Wolf, Minneapolis, MN;
Greg R. Furnish, Louisville, KY;
Todd A. Hall, Goshen, KY;
David Y. Phelps, Louisville, KY;
Peter J. Wilk, New York, NY;
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Eun Bo Shim, Kyungbuk, KOREA, REPUBLIC OF;

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**** CONTINUING DATA *******

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WHICH IS A 371 OF PCT/US99/03483 02/17/1999

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 06/05/2000

**** SMALL ENTITY ****

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY MN	SHEETS DRAWING 69	TOTAL CLAIMS 7	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS

Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

TITLE

Left ventricular conduits to coronary arteries and methods for coronary bypass

FILING FEE RECEIVED 989	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<table border="1"><tr><td><input type="checkbox"/> All Fees</td></tr><tr><td><input type="checkbox"/> 1.16 Fees (Filing)</td></tr><tr><td><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)</td></tr><tr><td><input type="checkbox"/> 1.18 Fees (Issue)</td></tr><tr><td><input type="checkbox"/> Other _____</td></tr><tr><td><input type="checkbox"/> Credit</td></tr></table>	<input type="checkbox"/> All Fees	<input type="checkbox"/> 1.16 Fees (Filing)	<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)	<input type="checkbox"/> 1.18 Fees (Issue)	<input type="checkbox"/> Other _____	<input type="checkbox"/> Credit
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